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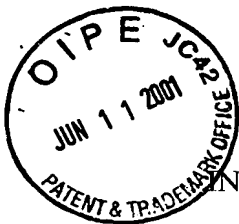
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/844,040	
	Filing Date	April 27, 2001	
	First Named Inventor	Brian S. Kim et al.	
	Group Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	089984-0276267

ENCLOSURES (check all that apply)		
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Brian S. Kim et al.

Application No. 09/844,040

Filed: April 27, 2001

For: APPARATUS AND METHOD THAT
CATEGORIZE A COLLECTION OF
DOCUMENTS INTO A HIERARCHY
OF CATEGORIES THAT ARE
DEFINED BY THE COLLECTION
OF DOCUMENTS

) Group Art Unit: Unknown

) Examiner: Unknown

) INFORMATION DISCLOSURE
) STATEMENT

) 50 Fremont Street, 5th Floor
) San Francisco, CA 94105-2230
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Washington D.C. 20231-9999 on June 7, 2001

Dated: 06-07-01 By: Robert D. King

Sir:

Applicants submit herewith information of which they are aware, which they believe
may be material to the examination of this application and in respect of, which there may be
a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application transmittal submitted herewith. 37
CFR § 1.97(a).
- (b) ☒ is filed within three months after the filing date of the application or within
three months after the date of entry of the national stage of a PCT application
as set forth in 37 CFR § 1.491.
- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first
Office Action on the merits.

(d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.

(e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

(f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

(g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A concise explanation of relevance of the described above and attached information is:

(k) - ☒ not given

(l) ☐ given for each listed item

(m) ☐ given for only non-English language listed item(s) [Required]

(n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

While the information disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that the information referred to is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other

material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the above-described and attached information.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: 6-7-01

By: 

Mark C. Pickering
Reg. No. 36,239

Attorney for Assignee

Atty. Docket No: 089984-0276267